Docket No. 51400-B/JPW/AJM/BJA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

: Donald W. Landry

Serial No. : 09/940,727

Examiner: C. Patterson, Jr.

Filed

: August 28, 2001

Art Unit: 1652

For

: ANTI-COCAINE CATALYTIC ANTIBODY

1185 Avenue of the Americas New York, New York 10036

July 30, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Mail Stop: Issue Fee

SIR:

PETITION TO WITHDRAW HOLDING OF ABANDONMENT IN ACCORDANCE WITH 37 C.F.R. §1.181(a)

This Petition is submitted to withdraw a holding of abandonment issued in connection with the above identified application under 37 C.F.R. §1.181(a).

Background

A Notice of Allowance and Fee(s) Due was issued on October 1, 2003 in connection with the above-identified application (see Exhibit A). A response to the Notice of Allowance and Fee(s) Due was due on January 2, 2004. In lieu of a response to the Notice of Allowance and Fee(s) Due, applicant filed with the United States Patent and Trademark Office on December 19, 2003: (i) a Request for Continued Examination of the above-identified application (including a Certificate of Mailing); (ii) a check for \$385.00; and (iii) an Information Disclosure Statement (including a PTO-1449 as Exhibit A and references as Exhibits 1-5). A copy of these documents as filed are attached hereto as Exhibit B.

However, a Notice of Abandonment was issued by the United States

Applicant: Donald W. Landry

Serial No.: 09/940,727 Filed: August 28, 2001

Page 2

Patent and Trademark Office on June 30, 2004 in connection with the above-identified application. The Notice states that this application is abandoned in view of applicant's failure to timely pay the issue fee as required in the Notice of Allowance.

37 C.F.R. §1.8(b)

According to M.P.E.P. §711.03(c)(I), a Petition to Withdraw Holding of Abandonment may be filed in response to a Notice of Abandonment, and that for a petition to be granted the evidence must be sufficient under, *inter alia*, 37 C.F.R. §1.8(b), Certificate of Mailing.

Applicant notes that 37 C.F.R. §1.8(b) states in part that correspondence timely filed according to 37 C.F.R. §1.8(a), but not received by the Patent Office, will be considered timely if the party who forwarded such correspondence:

- (1) Informs the Office of the previous mailing or transmission of the correspondence promptly after becoming aware that the Office has no evidence of receipt of the correspondence;
- (2) Supplies an additional copy of the previously mailed or transmitted correspondence and certificate; and
- (3) Includes a statement which attests on a personal knowledge basis or to the satisfaction of the Commissioner to the previous timely mailing or transmission.

A copy of 37 C.F.R. §1.8 is attached hereto as Exhibit C.

Accordingly, with regard to items (1) and (2), the undersigned hereby states that applicant timely filed with the United States Patent and Trademark Office on December 19, 2003: (i) a Request for Continued Examination of the above-identified application (including a Certificate of Mailing); (ii) a check for \$385.00; and (iii) an Information Disclosure Statement (including a PTO-1449 as Exhibit A and references as Exhibits 1-5) in lieu of

Applicant: Donald W. Landry

Serial No.: 09/940,727 Filed: August 28, 2001

Page 3

paying the issue fee, and that a copy of these documents as filed is attached hereto as ${\tt Exhibit}\ {\tt B}'.$

With regard to item (3), applicant attaches hereto as **Exhibit D**, a declaration by the undersigned attesting to the timely mailing of the aforementioned documents.

Action Requested

In light of the above, applicant hereby respectfully requests that the holding of Abandonment of the above-identified application be withdrawn.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicant's undersigned attorneys invite the Examiner to telephone them at the number provided below.

No fee is deemed necessary in connection with the filing of this Petition. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfally submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450

Mail Stop Issue Fee

Alan L. Morrison Registration No. 37,399 7/30/09 Date John P. White
Registration No. 28,678
Alan J. Morrison
Registration No. 37,399
Attorneys for Applicant
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

10/01/2003

John P. White Cooper & Dunham LLP 1185 Avenue of the Americas New York, NY 10036

EXAMINER

PATTERSON, CHARLES L JR

ART UNIT

PAPER NUMBER

1652

DATE MAILED: 10/01/2003

A PRI ICA TIONI NO	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
APPLICATION NO.	TIERRO DATE	- 1111/1-1-1-	51400-B/JPW/AJM/MML	6766
09/940,727	08/28/2001	Donald W. Landry	31400 201 11712112 20012	

TITLE OF INVENTION: ANTI-COCAINE CATALYTIC ANTIBODY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	01/02/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 4



Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE **Commissioner for Patents** P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

John P. White Cooper & Dunham LLP 1185 Avenue of the Americas New York, NY 10036



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name) (Signature

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/940,727	08/28/2001	Donald W. Landry	51400-B/JPW/AJM/MML	6766

TITLE OF INVENTION: ANTI-COCAINE CATALYTIC ANTIBODY

APPLN, TYPE	SMALL ENTITY	ISSUE FE	EE I	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330		\$300	\$1630	01/02/2004
Honprovisional			CI ACC SUDCI ACC		_	•
EXAMINER		ART UNIT		CLASS-SUBCLASS	ا ا	
PATTERSON, CHARLES L JR		1652		435-188500		
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			names of agents OF firm (havi	nting on the patent front page up to 3 registered patent R, alternatively, (2) the name ing as a member a registered d the names of up to 2 regi or agents. If no name is list inted.	attorneys or 1e of a single dattorney or 2stered patent	
3. ASSIGNEE NAME AN PLEASE NOTE: Unle	ND RESIDENCE DATA TO	BE PRINTED ON Telow, no assignee d	THE PATENT ata will appearate cover.	T (print or type) ar on the patent. Inclusion of Completion of this form is No	assignee data is only appropri OT a substitute for filing an ass	ate when an assignment ha
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PLEASE NOTE: Unle been previously submit (A) NAME OF ASSIGN Please check the approprious tander of the following fee(s) and Issue Fee Publication Fee	ss an assignee is identified b tted to the USPTO or is being NEE ate assignee category or categ	elow, no assignee d submitted under se (E gories (will not be pr	inted on the payment of A check in Payment	ar on the patent. Inclusion of Completion of this form is NCE: (CITY and STATE OR Compatent); individual	a corporation or other private genclosed. 38 is attached. charge the required fee(s), or	group entity 🚨 governme
PLEASE NOTE: Unle been previously submit (A) NAME OF ASSIGNATION (A) NAME OF A	ss an assignee is identified b tted to the USPTO or is being iNEE ate assignee category or categore enclosed: of Copies	elow, no assignee d submitted under se (E gories (will not be pr 41	inted on the payment of A check in Payment of The Directors Accepted the Accepted The Payment of The Directors Accepted the Accepted	ar on the patent. Inclusion of Completion of this form is NCE: (CITY and STATE OR Compatent); individual of Fee(s): in the amount of the fee(s) is easier to be credit card. Form PTO-20: ector is hereby authorized by count Number	a corporation or other private genclosed. 38 is attached. charge the required fee(s), or	roup entity governme r credit any overpayment, copy of this form).

NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE(S)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE OMB 0651-0033 PTOL-85 (Rev. 10/03) Approved for use through 04/30/2004.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	F	ILING DATE	FIRST NAMED INVENTOR			ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/940,727		08/28/2001		Donald W. Landry		51400-B/JPW/AJM/MML	6766
	7590	10/01/2003			,	EXAMI	NER
John P. White			٠			PATTERSON, C	HARLES L JR
Cooper & Dunh	am LLP			•		ART UNIT	PAPER NUMBER

1185 Avenue of the Americas New York, NY 10036

1652 **DATE MAILED: 10/01/2003**



Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 121 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 121 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/940,727	08/28/2001	Donald W. Landry	51400-B/JPW/AJM/MML	6766
7590 10/01/2003			EXAMINER	
John P. White			PATTERSON, C	HARLES L JR
Cooper & Dunham 1185 Avenue of the			ART UNIT	PAPER NUMBER
New York, NY 100			1652	
	4.7		DATE MAILED: 10/01/2003	•
₽ AUG				

Notice of Fee Increase on October 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on October 1, 2003. See Revision of Patent Fees for Fiscal Year 2004; Final Rule, 68 Fed. Reg. 41532, 41533, 41534 (July 14, 2003).

The current fee schedule is accessible from (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in view of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowance is to be filed on or after October 1, 2003 (or mailed with a certificate of mailing on or after October 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously-paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Effective October 1, 2003, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below.

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent,

except a design or plant patent:

(b) Issue fee for issuing a design patent:

(c) Issue fee for issuing a plant patent:

By a small entity (Sec. 1.27(a))......\$320.00

By other than a small entity......\$640.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

PTO/SB/30 (09-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

US. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Idea the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. 09/940,727 Request

for	Filing Date	August 28, 2001		
Continued Examination (RCE)		Donald W. Landry		
Transmittal	First Named Inventor	1652		
Address to:	Art Unit			
Mail Stop RCE Commissioner for Patents	Examiner Name	Patterson, C.L.		
P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket Number 0575/51400-B			
This is a Request for Continued Examination (RCE) Request for Continued Examination (RCE) practice under 37 C 1995, or to any design application. See Instruction Sheet for RC	under 37 CFR 1.114 of the ab FR 1.114 does not apply to any ut CEs (not to be submitted to the US	ove-identified application. iiity or plant application filed prior to June 8, PTO) on page 2.		
Submission required under 37 CFR 1.114 No amendments enclosed with the RCE will be entered in the applicant does not wish to have any previously filed uner amendment(s). Previously submitted. If a final Office action is considered as a submission even if this box is	ote: If the RCE is proper, any previ ne order in which they were filed un ntered amendment(s) entered, app outstanding, any amendments file	ously filed unentered amendments and nless applicant instructs otherwise. If plicant must request non-entry of such		
				
i. Consider the arguments in the Appeal E				
ii. Other				
b. Enclosed		Distance (IDE)		
i. Amendment/Reply iii. Information Disclosure Statement (IDS)				
ii. Affidavit(s)/ Declaration(s)				
2. Miscellaneous				
- identifies	application is requested under 3	7 CFR 1.103(c) for a		
a. Suspension of action on the above-toenther period of months. (Period of suspension of the above-toenther period of months.	nsion shall not exceed 3 months, Fee C	midel of otto() required		
b. Other				
3. Fees The RCE fee under 37 CFR 1.17(e) is requi	ired by 37 CFR 1.114 when the Ro	CE is filed.		
The Director is hereby authorized to charge Deposit Account No. 03-3125	the following lees, or credit any o			
	e)			
iii. Other	enclose			
b. Check in the amount of \$.385.00				
c. Payment by credit card (Form PTO-2038 encl	osed)	Listernation should not		
WARNING: Information on this form be included on this form. Provide of	may become public. Credit card redit card information and auth	orization on PTO-2038.		
SIGNATURE OF APPLI	CANT ATTORNEY, OR AGENT	REQUIRED		
Name (Print/Type) Alan J. Morrison /	Regi.	stration No. (Attorney/Agent) 37,399		
Signature	OF MAILING OR TRANSMISSIO			
I hereby certify that this correspondence is being deposited with the Laddressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1 Office on the date shown below.	450, Alexandria, VA 22313-1450 or fac	esimile transmitted to the U.S. Patent and Trademark		
Name (Print/Type) Alan J. Morrison		ate 1 12 / 15 / 0 3		
Signature	Da	be a fit but the public which is to file (and by the USPTO		
This collection of information is required by 37 CER 1.114. The information is required by 37 CER 1.114. The information confidentiality is governed by 35 U.S.C.	122 and 37 CFR 1.14. This collection	is estimated to take 12 minutes to complete, including		

Application Number

to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete to complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (10-03) PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Unue TRAIN FEE TRANSMITTAL 09/940,727 Application Number August 28, 2001 Filing Date for FY 2004 Donald W. Landry First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. Patterson, C.L. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 1652 Art Unit 0575/51400-B (\$) 385.00 Attorney Docket No. TOTAL AMOUNT OF PAYMENT FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 3. ADDITIONAL FEES None Money Order Other Credit card ✓ Check Large Entity | Small Entity Fee Description Fee Fee Fee Paid Deposit Account: Code Code (\$) 65 Surcharge - late filing fee or oath Deposit 2051 1051 03-3125 130 Account Surcharge - late provisional filing fee or 2052 Number 50 1052 cover sheet Deposit Account Non-English specification 130 1053 130 1053 1812 2,520 For filing a request for ex parte reexamination Name The Director is authorized to: (check all that apply) 2,520 1812 Requesting publication of SIR prior to Examiner action Credit any overpayments Charge fee(s) indicated below 1804 920 920 1804 Charge any additional fee(s) or any underpayment of fee(s) Requesting publication of SIR after 1805 1,840° Charge fee(s) indicated below, except for the filing fee 1805 1,840 Examiner action Extension for reply within first month to the above-identified deposit account 2251 1251 110 Extension for reply within second month-FEE CALCULATION 2252 420 1252 475 Extension for reply within third month 1. BASIC FILING FEE 2253 1253 950 Extension for reply within fourth month Small Entity 740 arge Entity Fee Paid 2254 1254 1,480 Fee Description 1,005 Extension for reply within fifth month-Fee Fee r<u>ee ree</u> Code (\$) 2255 1255 2,010 Utility filing fee 2001 385 165 Notice of Appeal 2401 1001 770 330 1401 Design filing fee 165 Filling a brief in support of an appeal 2002 170 1002 340 330 2402 1402 Plant filing fee 145 Request for oral hearing 2003 265 1003 530 290 2403 1403 Reissue filing fee 1,510 Petition to institute a public use proceeding 2004 385 1004 770 1451 1451 1,510 Provisional filing fee 55 Petition to revive - unavoidable 2005 80 1005 160 2452 1452 110 SUBTOTAL (1) (\$) 0 665 Petition to revive - unintentional 1453 1,330 2453 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 665 Utility issue fee (or reissue) 1501 1.330 2501 240 Design issue fee 2502 1502 480 Fee Paid below Extra Claims 320 Plant issue fee 2503 0 640 1503 X -201 **Total Claims** 130 Petitions to the Commissioner 0 1460 130 1460 Independent 0 50 Processing fee under 37 CFR 1.17(q) 1807 1807 50 Multiple Dependent 180 Submission of Information Disclosure Stmt 1806

40 Recording each patent assignment per 1806 180 Small Entity Large Entity Fee Description property (times number of properties) 8021 40 8021 Fee Code (\$) 385 Filing a submission after final rejection (37 CFR 1.129(a)) Code (\$) Claims in excess of 20 2809 770 1809 2202 1202 18 Independent claims in excess of 3 For each additional invention to be examined (37 CFR 1.129(b)) 43 2201 1201 86 2810 Multiple dependent claim, if not paid 770 1810 2203 145 1203 290 Request for Continued Examination (RCE) Reissue independent claims 2801 43 770 2204 1801 86 1204 over original patent Request for expedited examination 1802 900 1802 Reissue claims in excess of 20 of a design application 2205 18 1205 and over original patent Other fee (specify) (\$) 385.00 Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$).0 SUBTOTAL (2)

**or number previously paid, if greater; For Reissues, see above (Complete (if applicable)) Telephone (212) 278-04 SUBMITTED BY Registration No. 37,399 (Attorney/Agent) Alan J. Morrison 15/03 Name (Print/Type) Date WARNING: Information on this form may become public. Credit card information should not Signature

be included on this form. Provide credit card information and authorization on PTO-2038. This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce; P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDR SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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that the Office has no evidence of receipt of an application under \$1.53(d) (a continued prosecution application) transmitted to the Office by facsimile transmission, the party who transmitted the application under \$1.53(d) may petition the Commissioner to accord the application under \$1.53(d) a filing date as of the date the application under \$1.53(d) is shown to have been transmitted to and received in the Office.

(1) Provided that the party who transmitted such application under

§1.53(d):

(i) Informs the Office of the previous transmission of the application under §1.53(d) promptly after becoming aware that the Office has no evidence of receipt of the application under §1.53(d);

(ii) Supplies an additional copy of the previously transmitted application

under §1.53(d); and

(iii) Includes a statement which attests on a personal knowledge basis or to the satisfaction of the Commissioner to the previous transmission of the application under \$1.53(d) and is accompanied by a copy of the sending unit's report confirming transmission of the application under \$1.53(d) or evidence that came into being after the complete transmission and within one business day of the complete transmission of the application under \$1.53(d).

(2) The Office may require additional evidence to determine if the application under §1.53(d) was transmitted to and received in the Office on the date

in question.

[58 FR 54501, Oct. 22, 1993; 58 FR 64154, Dec. 6, 1993; 61 FR 56447, Nov. 1, 1996; 62 FR 53180, Oct. 10, 1997; 64 FR 48917, Sept. 8, 1999; 65 FR 54657, Sept. 8, 2000; 65 FR 76772, Dec. 7, 2000]

§1.7 Times for taking action; Expiration on Saturday, Sunday or Federal holiday.

(a) Whenever periods of time are specified in this part in days, calendar days are intended. When the day, or the last day fixed by statute or by or under this part for taking any action or paying any fee in the United States Patent and Trademark Office falls on Saturday, Sunday, or on a Federal holiday within the District of Columbia, the action may be taken, or the fee paid, on the next succeeding business

day which is not a Saturday, Sunday, or a Federal holiday. See §1.304 for time for appeal or for commencing civil action.

(b) If the day that is twelve months after the filing date of a provisional application under 35 U.S.C. 111(b) and §1.53(c) falls on Saturday, Sunday, or on a Federal holiday within the District of Columbia, the period of pendency shall be extended to the next succeeding secular or business day which is not a Saturday, Sunday, or a Federal holiday.

[65 FR 14871, Mar. 20, 2000]

§1.8 Certificate of mailing or transmission.

- (a) Except in the cases enumerated in paragraph (a)(2) of this section, correspondence required to be filed in the Patent and Trademark Office within a set period of time will be considered as being timely filed if the procedure described in this section is followed. The actual date of receipt will be used for all other purposes.
- (1) Correspondence will be considered as being timely filed if:
- (i) The correspondence is mailed or transmitted prior to expiration of the set period of time by being:
- (A) Addressed as set out in §1.1(a) and deposited with the U.S. Postal Service with sufficient postage as first class mail; or
- (B) Transmitted by facsimile to the Patent and Trademark Office in accordance with §1.6(d); and
- (ii) The correspondence includes a certificate for each piece of correspondence stating the date of deposit or transmission. The person signing the certificate should have reasonable basis to expect that the correspondence would be mailed or transmitted on or before the date indicated.
- (2) The procedure described in paragraph (a)(1) of this section does not apply to, and no benefit will be given to a Certificate of Mailing or Transmission on the following:
- (i) Relative to Patents and Patent Applications—
- (A) The filing of a national patent application specification and drawing or other correspondence for the purpose of

obtaining an application filing date, including a request for a continued prosecution application under §1.53(d);

- (B) The filing of correspondence in an interference which an examiner-inchief orders to be filed by hand or "Express Mail";
- (C) The filing of agreements between parties to an interference under 35 U.S.C. 135(c);

(D) The filing of an international ap-

plication for patent;

- (E) The filing of correspondence in an international application before the U.S. Receiving Office, the U.S. International Searching Authority, or the U.S. International Preliminary Examining Authority;
- (F) The filing of a copy of the international application and the basic national fee necessary to enter the national stage, as specified in §1.494(b) or §1.495(b).
- (ii) Relative to Trademark Registrations and Trademark Applications—
- (A) The filing of a trademark applica-
 - (B)-(F) [Reserved]
- (iii) Relative to Disciplinary Proceedings—
- (A) Correspondence filed in connection with a disciplinary proceeding under part 10 of this chapter.
 - (B) [Reserved]
- (b) In the event that correspondence is considered timely filed by being mailed or transmitted in accordance with paragraph (a) of this section, but not received in the Patent and Trademark Office, and the application is held to be abandoned or the proceeding is dismissed, terminated, or decided with prejudice, the correspondence will be considered timely if the party who forwarded such correspondence:
- (1) Informs the Office of the previous mailing or transmission of the correspondence promptly after becoming aware that the Office has no evidence of receipt of the correspondence;
- (2) Supplies an additional copy of the previously mailed or transmitted correspondence and certificate; and
- (3) Includes a statement which attests on a personal knowledge basis or to the satisfaction of the Commissioner to the previous timely mailing or transmission. If the correspondence was sent by facsimile transmission, a

copy of the sending unit's report confirming transmission may be used to support this statement.

(c) The Office may require additional evidence to determine if the correspondence was timely filed.

[58 FR 54502, Oct. 22, 1993; 58 FR 64154, Dec. 6, 1993, as amended at 61 FR 56447, Nov. 1, 1996; 62 FR 53181, Oct. 10, 1997]

§ 1.9 Definitions.

- (a)(1) A national application as used in this chapter means a U.S. application for patent which was either filed in the Office under 35 U.S.C. 111, or which entered the national stage from an international application after compliance with 35 U.S.C. 371.
- (2) A provisional application as used in this chapter means a U.S. national application for patent filed in the Office under 35 U.S.C. 111(b).
- (3) A nonprovisional application as used in this chapter means a U.S. national application for patent which was either filed in the Office under 35 U.S.C. 111(a), or which entered the national stage from an international application after compliance with 35 U.S.C. 371.
- (b) An international application as used in this chapter means an international application for patent filed under the Patent Cooperation Treaty prior to entering national processing at the Designated Office stage.
- (c) A published application as used in this chapter means an application for patent which has been published under 35 U.S.C. 122(b).
 - (d)-(f) [Reserved]
- (g) For definitions in interferences see §1.601.
- (h) A Federal holiday within the District of Columbia as used in this chapter means any day, except Saturdays and Sundays, when the Patent and Trademark Office is officially closed for business for the entire day.
- (i) National security classified as used in this chapter means specifically authorized under criteria established by an Act of Congress or Executive Order to be kept secret in the interest of national defense or foreign policy

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Donald W. Landry

Serial No.: 09/940,727 Examiner: C. Patterson, Jr.

Filed : August 28, 2001 Art Unit: 1652

For : ANTI-COCAINE CATALYTIC ANTIBODY

1185 Avenue of the Americas New York, New York 10036

July 30, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Mail Stop: Issue Fee

Sir:

DECLARATION OF ALAN J. MORRISON, ESQ. IN SUPPORT OF PETITION TO WITHDRAW HOLDING OF ABANDONMENT

- I, Alan J. Morrison, Esq., hereby declare as follows:
- 1. I am a member of the firm Cooper & Dunham LLP, attorneys for applicant.
- 2. I have been a partner with Cooper & Dunham LLP since 2002.
- I am registered to practice before the Patent and Trademark Office.
- I am the attorney who signed and submitted the December 19, 2003 Request for Continued Examination in connection with the above-identified application (including a first class Certificate of Mailing, a check for \$385.00, and an Information Disclosure Statement with a PTO-1449 Form as Exhibit A and references as Exhibits 1-5), in lieu of paying the issue fee in connection with the above-identified application.

Applicant: Donald W. Landry

Serial No.: 09/940,727 Filed: August 28, 2001

Page 2

- 5. Correspondence which is prepared for mailing to the U.S. Patent and Trademark Office is placed in an envelope addressed to the United States Patent Office and given to the mailroom of Cooper & Dunham LLP where postage in the correct amount and the correct postmark are attached to the envelope. The envelope is then deposited by the staff of the mailroom with the U.S. Postal Service as First Class Mail.
- 6. On December 19, 2003, I caused the Request for Continued Examination in connection with the above-identified application (including a Certificate of Mailing, a check for \$385.00, and an Information Disclosure Statement with a PTO-1449 as Exhibit A and references as Exhibits 1-5), to be given to the mailroom of Cooper & Dunham LLP for mailing to the Patent Office.
- 7. There were no other steps that could have been taken to assure that the subject Request for Continued Examination and accompanying documents were timely filed.

Dated: July 30, 2004

Alan J. Morrison Registration No. 37,399 Attorney for Applicant Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036 (212) 278-0400

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Applicant Donald W. Landry

Client Columbia (0575) File No. 51400-B Atty. JPW/AJM/MML

Date December 19, 2003

Kindly acknowledge receipt of the accompanying

Request For Continued Examination (RCE) in connection with Donald W. Landry, ANTI-COCAINE CATALYTIC ANTIBODY, U.S. Serial No. 09/940,727 filed August 28, 2001, including an RCE Transmittal form in triplicate, an Information Disclosure Statement including Exhibits A and 1-5, a check in the amount of \$385.00 and Certificate of Mailing dated December 19, 2003.

by placing your receiving date stamp hereon and returning to us.